<table>
<thead>
<tr>
<th>Ancillary Review Type</th>
<th>Initiator</th>
<th>Approver</th>
<th>Response Required?</th>
<th>Required Documents/Information</th>
</tr>
</thead>
</table>
| Compliance (COI)                  | ORA       | VPR/EDR      | Y                  | Read and follow the [Conflict of Interest in Research Policy](#).  
• Include a letter disclosing the potential conflict  
• Attach to Ancillary Review |
| Cost Share                        | Department| VPR/EDR      | Y                  | Read and follow the [Cost Sharing Policy](#).  
• Completed Cost Share Budget  
• Justification letter for the Cost Share  
• NEW REQUIRED: Cost Share Form  
*Note: When requesting cost share voluntary or mandatory please include sponsor details in addition to the waiver and NEW required cost share form in the attachments. |
| Department Chair/Center Director (as PI) | Department | Dean/Dean Designee | Y | Include a justification comment for approval in the ancillary review.  
* Note: If an approver noted here is the PI, the Proposal requires approval from a higher level. |
| Export Controls                   | Department/ORA | William Collins | Y | Read and follow the [Export Control Policy](#).  
• Letter disclosing export control concerns  
• Attach to Ancillary Review |
| Indirect Cost Waiver              | Department| VPR/EDR      | Y                  | Read and follow the [F&A Waiver Policy](#).  
• Justification letter  
• NEW REQUIRED: Indirect Cost Waiver Form  
* must be included on attachments |
| Nepotism/Familial Relationship    | Department| VPR/EDR      | Y                  | Read and follow the [Nepotism in Research Policy](#).  
• Include a Nepotism Memo  
• Attach to Ancillary Review |
| PI Eligibility                    | Department| VPR/EDR      | Y                  | Read and follow the [PI Eligibility Policy](#). For Case By Case Exceptions:  
• Commitment/Letter of Support.  
• Attach to Ancillary Review |
| Resources                         | Department| Chair, Dean or Owner of Resource | Y | Letter requesting resources needed for the project  
• Attach to Ancillary Review |
| Terms & Conditions                | ORA       | ORA Contract Team | Y | ORA Activity |

### Person or Organization
- **Compliance (COI)**: N/A  
  - This is for SBIR/STTR only and generated by ORA.
- **Cost Share**: Person  
  - Gables or RSMAS: Laura Kozma  
  - Medical: Patricia Wahl for Dr. Schulman
- **Department Chair/Center Director (as PI)**: Person  
  - Medical: Grace Zhai  
  - RSMAS: Roni Avissar  
  - A&S: Leonidas Bachas  
  - Engineering: Helena Solo-Gabriele
- **Export Controls**: Person  
  - William (Bill) Collins
- **Indirect Cost Waiver**: Person  
  - Gables or RSMAS: Provosts Office (CC00472) on the Organization  
  - Medical: Carl Schulman
- **Nepotism/Familial Relationship**: Person  
  - Gables or RSMAS: Erin Kobetz  
  - Medical: Grace Zhai
- **PI Eligibility**: Person  
  - Gables or RSMAS: Erin Kobetz  
  - Medical: Grace Zhai
- **Resources**: Person  
  - Select appropriate Chair Center/Dir/Dean from the person picklist
- **Terms & Conditions**: N/A  
  - Internal ORA