<table>
<thead>
<tr>
<th>Ancillary Review Type</th>
<th>Initiator</th>
<th>Approver</th>
<th>Response Required?</th>
<th>Required Documents/Information</th>
<th>Person or Organization</th>
<th>Selection</th>
</tr>
</thead>
</table>
| Compliance (COI)      | ORA       | VPR/EDR  | Y                 | Read and follow the **Conflict of Interest in Research Policy.**  
  - Include a letter disclosing the potential conflict  
  - Attach to Ancillary Review | N/A        | This is for SBIR/STTR only and generated by ORA. |
| Cost Share            | Department | VPR/EDR  | Y                 | Read and follow the **Cost Sharing Policy.**  
  - Completed Cost Share Budget  
  - Justification letter for the Cost Share  
  - **NEW REQUIRED:** Cost Share Form  
  (must be included on attachments) | Person     | Gables or RSMAS: Erin Kobetz  
  Medical: Carl Schulman |
| Department Chair/Center Director (as PI) | Department | Dean/Dean Designee | Y | Include a justification comment for approval in the ancillary review.  
* Note: If an approver noted here is the PI, the Proposal requires approval from a higher level.*  
Person | Gables or RSMAS: Erin Kobetz  
Medical: Grace Zhai  
RSMAS: Roni Avissar  
A&S: Leonidas Bachas  
Engineering: Helena Solo-Gabriele |
| Export Controls       | Department/ORA | William Collins | Y | Read and follow the **Export Control Policy.**  
  - Letter disclosing export control concerns  
  - Attach to Ancillary Review | Person     | William (Bill) Collins |
| Indirect Cost Waiver  | Department | VPR/EDR  | Y                 | Read and follow the **F&A Waiver Policy.**  
  - Justification letter  
  - **NEW REQUIRED:** Indirect Cost Waiver Form  
  (must be included on attachments) | Person     | Gables or RSMAS: "Provosts Office" (CC00372) on the Organization  
  Medical: Carl Schulman |
| Nepotism/Familial Relationship | Department | VPR/EDR  | Y                 | Read and follow the **Nepotism in Research Policy.**  
  - Include a Nepotism Memo  
  - Attach to Ancillary Review | Person     | Gables or RSMAS: Erin Kobetz  
Medical: Grace Zhai |
| PI Eligibility        | Department | VPR/EDR  | Y                 | Read and follow the **PI Eligibility Policy.** For Case By Case Exceptions:  
  - Commitment/Letter of Support.  
  - Attach to Ancillary Review | Person     | Gables or RSMAS: Erin Kobetz  
Medical: Grace Zhai |
| Resources             | Department | Chair, Dean or Owner of Resource | Y | Letter requesting resources needed for the project  
  - Attach to Ancillary Review | Person     | Select appropriate Chair/Center/Dir/Dean from the person picklist |
| Terms & Conditions    | ORA       | ORA Contract Team | Y | ORA Activity | N/A        | Internal ORA |